

Form No. MGT-9
EXTRACT OF ANNUAL RETURN
as on the financial year ended on 31.03.2020
[Pursuant to section 92(3) of the Companies Act, 2013 and rule 12(1) of the
Companies (Management and Administration) Rules, 2014]

I. REGISTRATION AND OTHER DETAILS:

i)	CIN	L99999MH1945PLC004581
ii)	Registration Date	15th October, 1945
iii)	Name of the Company	Hardcastle & Waud Manufacturing Company Limited
iv)	Category / Sub-Category of the Company	Public Limited Company / Limited by Shares
v)	Address of the Registered office and contact details	Mall Office, 2nd Floor, West Pioneer Properties (India) Pvt.Ltd, Netivali, Kalyan (E), Thane 421306 Tel No.022-22837658-63 Fax No. 022-22873176 E-mail Id: ho@hawcoindia.com, Website: www.hawcoindia.in
vi)	Whether listed company	Yes
vii)	Name, Address and Contact details of Registrar and Transfer Agent, if any	Link Intime India Private Limited C-101, 247 Park, L.B.S. Marg, Vikhroli (West), Mumbai - 400 083 Phone : 022 49186270 Fax: 022 49186060 e-mail : rnt.helpdesk@linkintime.co.in

II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY:

All the business activities contributing 10 % or more of the total turnover of the company shall be stated:-

Sr. No.	Name and Description of main products /services	NIC Code of the Product/ service	% to total turnover of the company
1	Industrial Chemicals	46691	32.68
2	Leasing Activities	7730	63.87

III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES:

Sr.No.	Name & Address of the Company	CIN/GLN	Holding/Subsidiary/ Associate	% of shares held	Applicable Section
NIL					

IV. SHARE HOLDING PATTERN (Equity Share Capital Breakup as percentage of Total Equity)

i) Category-wise Share Holding

Category of Shareholders	No. of Shares held at the beginning of the year (As on 1.4.2019)				No. of Shares held at the end of the year (As on 31.3.2020)				% Change during the year
	Demat	Physical	Total	% of Total Shares	Demat	Physical	Total	% of Total Shares	
A. Promoters									
(1) Indian									
a) Individual/HUF	30,347	-	30,347	4.466	43,446	-	43,446	6.394	1.928
b) Central Govt.	-	-	-	-	-	-	-	-	-
c) State Govt (s)	-	-	-	-	-	-	-	-	-
d) Bodies Corporate	4,56,248	-	4,56,248	67.147	4,55,737	-	4,55,737	67.072	(0.075)
e) Bank/FI	-	-	-	-	-	-	-	-	-
f) Any other	-	-	-	-	-	-	-	-	-
Sub Total (A) (1) :	4,86,595	-	4,86,595	71.613	4,99,183	-	4,99,183	73.466	1.853
(2) Foreign									
a) NRI- Individuals	12,588	-	12,588	1.853	-	-	-	-	(1.853)
b) Other Individuals	-	-	-	-	-	-	-	-	-
c) Bodies Corporate	-	-	-	-	-	-	-	-	-
d) Bank/FI	-	-	-	-	-	-	-	-	-
e) Any other	-	-	-	-	-	-	-	-	-
Sub Total (A) (2) :	12,588	-	12,588	1.853	-	-	-	-	(1.853)
Total Shareholding of Promoters (A) = (A) (1) + (A) (2)	4,99,183	-	4,99,183	73.466	4,99,183	-	4,99,183	73.466	-
B. Public Shareholding									
(1) Institutions									
a) Mutual Funds	-	560	560	0.082	-	560	560	0.082	-
b) Banks/FI	-	-	-	-	-	-	-	-	-
c) Central Govt.	-	-	-	-	-	-	-	-	-
d) State Govt(s)	-	-	-	-	-	-	-	-	-
e) Venture Capital Funds	-	-	-	-	-	-	-	-	-
f) Insurance Companies	-	-	-	-	-	-	-	-	-
g) FIs	-	-	-	-	-	-	-	-	-
h) Foreign Venture Capital Funds	-	-	-	-	-	-	-	-	-
i) Others	-	-	-	-	-	-	-	-	-
Sub Total (B) (1) :	-	560	560	0.082	-	560	560	0.082	-
(2) Non Institutions									
a) Bodies Corporates									
i) Indian	64,516	2,540	67,056	9.869	64,903	2,540	67,443	9.926	0.057
ii) Overseas	-	-	-	-	-	-	-	-	-
b) Individuals	-	-	-	-	-	-	-	-	-
i) Individual shareholders holding nominal share capital upto Rs 1 lakh	76,526	18,146	94,672	13.933	79,943	16,262	96,205	14.159	0.226
ii) Individuals shareholders holding nominal share capital in excess of Rs 1 lakh	-	-	-	-	-	-	-	-	-
c) Others (Specify)									
i. Non-Resident Indian	1,411	26	1,437	0.211	1,384	26	1,410	0.208	(0.004)
ii. HUF	5,078	-	5,078	0.747	5,069	-	5,069	0.746	(0.001)
iii. Clearing Members	1,838	-	1,838	0.271	157	-	157	0.023	(0.247)
iv. Hardcastle & Waud Mfg. Co.Ltd. Unclaimed Suspense Account	9,650	-	9,650	1.420	9,447	-	9,447	1.390	(0.030)
Sub Total (B) (2) :	1,59,019	20,712	1,79,731	26.451	1,60,903	18,828	1,79,731	26.451	-
Total Public shareholding (B) = (B) (1) + (B) (2)	1,59,019	21,272	1,80,291	26.534	1,60,903	19,388	1,80,291	26.534	-
C. Shares held by Custodian for GDRs & ADRs									
Grand Total (A+B+C)	6,58,202	21,272	6,79,474	100.000	6,60,086	19,388	6,79,474	100.000	-

ii) Shareholding of Promoters

Sr. No	Shareholder's Name	Shareholding at beginning of the year (As on 01.04.2019)			Shareholding at end of the year (As on 31.03.2020)			% of change in shareholding during the year
		No. of Shares	% of total shares of the Company	% of shares pledged/encumbered to total shares	No. of Shares	% of total shares of the Company	% of shares pledged/encumbered to total shares	
1	Winmore Leasing & Holdings Limited	2,98,946	43.997	-	2,98,946	43.997	-	-
2	Shri Ambika Trading Company Private Limited	78,705	11.583	-	78,705	11.583	-	-
3	Saubhagya Impex Private Limited	50,051	7.366	-	50,051	7.366	-	-
4	Achal Exim Private Limited	18,606	2.738	-	18,606	2.738	-	-
5	Shri Anurag Jatia	12,588	1.853	-	-	-	-	(1.853)
6	Amit Jatia HUF	10,070	1.482	-	-	-	-	(1.482)
7	Vishwas Investmet & Trading Company Private Limited	9,420	1.386	-	9,420	1.386	-	-
8	Smt Smita Jatia	6,586	0.969	-	-	-	-	(0.969)
9	Shri Banwari Lal Jatia	6,028	0.887	-	6,028	0.887	-	-
10	Smt Lalita Devi Jatia	5,543	0.816	-	5,543	0.816	-	-
11	Shri Amit Jatia	1,948	0.287	-	-	-	-	(0.287)
12	Shri Akshay Jatia	1	0.000	-	1	0.000	-	-
13	Shri Ayush Jatia	1	0.000	-	1	0.000	-	-
14	Smt Ushadevi Jatia	170	0.025	-	170	0.025	-	-
15	Horizon Impex Private Limited	490	0.072	-	-	-	-	(0.072)
16	Subh Ashish Exim Private Limited	20	0.003	-	-	-	-	(0.003)
17	Anand Veena Twisters Private Limited	1	0.000	-	-	-	-	(0.000)
18	Houghton Hardcastle (India) Private Limited	1	0.000	-	1	0.000	-	-
19	Hawcoplast Investments & Trading Limited	1	0.000	-	1	0.000	-	-
20	Hardcastle Petrofer Private Limited	1	0.000	-	1	0.000	-	-
21	Vandeep Tradelinks Private Limited	1	0.000	-	1	0.000	-	-
22	Acacia Impex Private Limited	1	0.000	-	1	0.000	-	-
23	Amit BL Properties Private Limited	1	0.000	-	1	0.000	-	-
24	Concept Highland Business Private Limited	1	0.000	-	1	0.000	-	-
25	Akshay Ayush Impex Private Limited	1	0.000	-	1	0.000	-	-
26	Hawco Petrofer LLP	1	0.000	-	1	0.000	-	-
27	Shri Achal Jatia	-	-	-	31,703	4.666	-	4.666
	Total	4,99,183	73.466		4,99,183	73.466		

iii) Change in Promoters Shareholding (Specify if there is No Change)

Sr. No.		Shareholding at beginning of the year (As on 01.04.2019)		Cumulative Shareholding during the year (01.04.2019 to 31.03.2020)	
		No. of Shares	% of total shares of the Company	No. of Shares	% of total shares of the Company
1	At the beginning of the year	4,99,183	73.466	4,99,183	73.466
2	Date wise increase / decrease in Promoters Shareholding during the year specifying the reasons for increase / decrease (e.g. allotment / transfer / bonus / sweat equity etc)	#			#
3	At the end of the year	4,99,183	73.466	4,99,183	73.466

Inter-se transfers among Promoters

Sr. No.	Name	Shareholding		Date	Increase / Decrease in shareholding	Reason	Cumulative Shareholding during the year (01.04.2019 to 31.03.2020)	
		No. of Shares at the beginning of the year (01.04.2019) / end of the year (31.03.2020)	% of total shares of the Company				No. of Shares	% of total shares of the Company
1	Shri Anurag Jatia	12,588	1.853	01.04.2019				
				27.02.2020	(12,588)	Transfer	-	-
		-	-	31.03.2020				
2	Amit Jatia HUF	10,070	1.482	01.04.2019				
				27.02.2020	(10,070)	Transfer	-	-
				31.03.2020				
3	Smt Smita Jatia	6,586	0.969	01.04.2019				
				27.02.2020	(6,586)	Transfer	-	-
		-	-	31.03.2020				
4	Shri Amit Jatia	1,948	0.287	01.04.2019				
				27.02.2020	(1,948)	Transfer	-	-
		-	-	31.03.2020				
5	Horizon Impex Private Limited	490	0.072	01.04.2019				
				27.02.2020	(490)	Transfer	-	-
		-	-	31.03.2020				
6	Subh Ashish Exim Private Limited	20	0.003	01.04.2019				
				27.02.2020	(20)	Transfer	-	-
		-	-	31.03.2020				
7	Anand Veena Twisters Private Limited	1	0.000	01.04.2019				
				27.02.2020	(1)	Transfer	-	-
		-	-	31.03.2020				
8	Shri Achal Jatia	-	-	01.04.2019				
				27.02.2020	31,703	Transfer	31,703	4.666
		31,703	4.666	31.03.2020				

iv) Shareholding Pattern of top ten Shareholders (other than Directors, Promoters & Holders of GDRs & ADRs)

Sr. No.	Shareholder's Name	Shareholding		Date	Increase / Decrease in shareholding	Reason	Cumulative Shareholding during the year (01.04.2019 to 31.03.2020)	
		No. of Shares at the beginning of the year (01.04.2019) / end of the year (31.03.2020)	% of total shares of the Company				No. of Shares	% of total shares of the Company
1	Bay Capital Advisors Private Limited	48,975	7.208	01.04.2019	No Change		-	-
		48,975	7.208	31.03.2020			-	-
2	Dicoverly Financial Services Private Limited	7,000	1.030	01.04.2019	No Change		-	-
		7,000	1.030	31.03.2020			-	-
3	Shri Vijay Aggarwal	5,000	0.736	01.04.2019	No Change		-	-
		5,000	0.736	31.03.2020			-	-
4	Marudhar Vyapaar Pvt. Ltd	3,000	0.442	01.04.2019	No Change		-	-
		3,000	0.442	31.03.2020			-	-
5	Shri Kamlesh Himatlal Shah	2,289	0.337	01.04.2019	No Change		-	-
		2,289	0.337	31.03.2020			-	-
6	Sikkim Jansewa Pratisthan Pvt. Ltd	2,000	0.294	01.04.2019	No Change		-	-
		2,000	0.294	31.03.2020			-	-
7	Shri Raichand Sethia	1,885	0.277	01.04.2019	No Change		-	-
		1,885	0.277	31.03.2020			-	-
8	Smt. Sushiladevi Somani	1,597	0.235	01.04.2019	No Change		-	-
		1,597	0.235	31.03.2020			-	-
9	Shri Tapan Kumar Dey	1,450	0.213	01.04.2019	50	Transfer	-	-
				03.05.2019			50	0.007
		50	0.007	31.03.2020			-	-
10	Smt Dorothy Dsouza	1,400	0.206	01.04.2019	No Change		-	-
		1,400	0.206	31.03.2020			-	-

v) Shareholding of Directors & Key Managerial Personnel

Sr. No.	Name	Shareholding		Date	Increase / Decrease in shareholding	Reason	Cumulative Shareholding during the year (01.04.2019 to 31.03.2020)	
		No. of Shares at the beginning of the year (01.04.2019) / end of the year (31.03.2020)	% of total shares of the Company				No. of Shares	% of total shares of the Company
1	Shri Banwari Lal Jatia	6,028	0.887	01.04.2019			-	-
					No Change			
		6,028	0.887	31.03.2020			-	-

V. Indebtedness of the Company including interest outstanding / accrued but not due for payment.

(Rs In Lakhs)

	Secured Loans	Unsecured Loans	Deposits	Total Indebtedness
Indebtedness at the beginning of the financial year (01.04.2019)				
i. Principal Amount	-	25.00	-	25.00
ii. Interest due but not paid	-	-	-	-
iii. Interest accrued but not due	-	0.01	-	0.01
Total (i + ii + iii)		25.01		25.01
Change in Indebtedness during the financial year				
Additions	-	5.00	-	5.00
Reduction	-	(25.01)	-	(25.01)
Net Change	-	(20.01)	-	(20.01)
Indebtedness at the end of the financial year (31.3.2020)				
i. Principal Amount	-	5.00	-	5.00
ii. Interest due but not paid	-	-	-	-
iii. Interest accrued but not due	-	0.01	-	0.01
Total (i + ii + iii)	-	5.01	-	5.01

VI. REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

A) Remuneration to Managing Director, Whole time Directors and / or Manager:

Sr No.	Particulars of Remuneration	Name of MD	Total Amount (Rs Lakhs)
		Shri Banwari Lal Jatia	
1	Gross Salary		
	(a) Salary as per provisions contained in Section 17(1) of the Income Tax Act, 1961	-	-
	(b) Value of perquisites u/s 17(2) of Income Tax Act, 1961	-	-
	(c) Profits in lieu of Salary under section 17(3) of Income Tax Act, 1961	-	-
2	Stock Option	-	-
3	Sweat Equity	-	-
4	Commission - as % of Profit	-	-
5	Others	-	-
	Total (A)		-
	Ceiling as per the Act		60.00

B) Remuneration to other Directors:

Sr. No.	Particulars of Remuneration	Name of the Director		
1	Independent Directors	Shri Sunil Kantilal Trivedi	Smt Rekha Pacheria	Total Amount (Rs Lakhs)
	(a) Fee for attending board and committee meetings	0.18	0.18	0.36
	(b) Commission	-	-	-
	(c) Others	-	-	-
	Total (1)	0.18	0.18	0.36
2	Other Non-Executive Directors	Shri Om Prakash Adukia		Total Amount (Rs Lakhs)
	(a) Fee for attending board and committee meetings	0.18		0.18
	(b) Commission	-		-
	(c) Others	-		-
	Total (2)	0.18		0.18
	Total (B) = 1 + 2			0.54
	Total Managerial Remuneration			0.54
	Overall Ceiling as per the Act.			60.54

C) Remuneration to Key Managerial Personnel other than MD / MANAGER / WTD:

Sr No.	Particulars of Remuneration	Name of KMP		Total Amount (Rs Lakhs)
		Smt Shivani Bhargawa-CFO	Smt Smita Achrekar- Company Secretary	
1	Gross Salary			
	(a) Salary as per provisions contained in Section 17(1) of the Income Tax Act, 1961	5.03	11.50	16.53
	(b) Value of perquisites u/s 17(2) of Income Tax Act, 1961	-	-	-
	(c) Profits in lieu of Salary under section 17(3) of Income Tax Act, 1961	-	-	-
2	Stock Option	-	-	-
3	Sweat Equity	-	-	-
4	Commission - as % of Profit	-	-	-
5	Others	-	-	-
	Total	5.03	11.50	16.53

VII. PENALTIES / PUNISHMENT / COMPOUNDING OF OFFENCES

Type	Section of the Companies Act	Brief Description	Details of Penalty / Punishment / Compounding fees imposed	Authority (RD / NCLT / Court)	Appeal made if any (give details)
A. COMPANY					
Penalty					
Punishment					
Compounding					
B. DIRECTORS					
Penalty			NIL		
Punishment					
Compounding					
C. OTHER OFFICERS IN DEFAULT					
Penalty					
Punishment					
Compounding					